

PATENT APPLICATION SERIAL NO. 10/030986

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

01/22/2002 NGUYEN 00000129 10030986

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<p>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</p> <p>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 USC 371 AND 37 CFR 1.491</p>		<p>ATTORNEY DOCKET NO. 401530</p> <p>U.S. APPLICATION NO. 10/030986</p>
<p>INTERNATIONAL APPLICATION NO. PCT/JP00/03994</p>	<p>INTERNATIONAL FILING DATE June 19, 2000</p>	<p>PRIORITY DATE CLAIMED</p>
<p>TITLE OF INVENTION EXCITATION CONTROL DEVICE AND EXCITATION CONTROL METHOD</p>		
<p>APPLICANT(S) FOR DO/EO/US KITAMURA ET AL.</p>		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 USC 371 and 37 CFR 1.491. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 USC 371 and 37 CFR 1.491. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 USC 371(f)). 4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 USC 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 USC 371(c)(2)). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 USC 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)). 11. Nucleotide and/or Amino Acid Sequence Submission <ol style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ol style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper Copy c. <input type="checkbox"/> Statement verifying identity of above copies <p>Items 12 to 19 below concern other document(s) or information included:</p> <ol style="list-style-type: none"> 12. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Form PTO-1449 <input checked="" type="checkbox"/> Copies of Listed Documents 13. <input checked="" type="checkbox"/> An assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 14. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input checked="" type="checkbox"/> Application Data Sheet Under 37 CFR 1.76 18. <input checked="" type="checkbox"/> Return Receipt Postcard 19. <input checked="" type="checkbox"/> Other items or information: Drawings (7 sheets) 		

U.S. APPLICATION NO. <div style="font-size: 2em; font-weight: bold; margin-left: 100px;">10/030986</div>		INTERNATIONAL APPLICATION NO. PCT/JP00/03994		ATTORNEY DOCKET NO. 401530																																		
<div>20. <input checked="" type="checkbox"/> The following fees are submitted:</div> <div>Basic National Fee (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1,040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..... \$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO, but international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$740.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1) to (4)..... \$100.00</div> <div style="text-align: right; margin-top: 10px;">ENTER APPROPRIATE BASIC FEE AMOUNT=</div> <div>Surcharge of \$130.00 for furnishing the National fee or oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date</div> <table border="1" style="width:100%; border-collapse: collapse; margin-top: 5px;"><thead><tr><th style="width:20%;">CLAIMS</th><th style="width:20%;">NUMBER FILED</th><th style="width:20%;">NUMBER EXTRA</th><th style="width:20%;">RATE</th><th style="width:10%;"></th><th style="width:10%;"></th></tr></thead><tbody><tr><td>Total Claims</td><td>4</td><td>-20=</td><td>x \$ 18.00</td><td>\$</td><td></td></tr><tr><td>Independent Claims</td><td>2</td><td>- 3 =</td><td>x \$ 84.00</td><td>\$</td><td></td></tr><tr><td colspan="4"><input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)</td><td>+\$280.00</td><td>\$</td></tr></tbody></table> <div style="text-align: right; margin-top: 10px;">TOTAL OF ABOVE CALCULATIONS=</div> <div><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</div> <div style="text-align: right; margin-top: 10px;">SUBTOTAL=</div> <div>Processing fee of \$130.00 for furnishing English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date.</div> <div style="text-align: right; margin-top: 10px;">TOTAL NATIONAL FEE=</div> <div>Fee for recording the enclosed assignment. The assignment must be accompanied by an appropriate cover sheet. \$40.00 per property</div> <div style="text-align: right; margin-top: 10px;">TOTAL FEE ENCLOSED=</div> <table border="1" style="width:100%; border-collapse: collapse; margin-top: 5px;"><tr><td style="width:70%;"></td><td style="width:15%;">Amount to be:</td><td style="width:15%;"></td></tr><tr><td></td><td>refunded</td><td>\$</td></tr><tr><td></td><td>charged:</td><td>\$</td></tr></table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			Total Claims	4	-20=	x \$ 18.00	\$		Independent Claims	2	- 3 =	x \$ 84.00	\$		<input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)				+\$280.00	\$		Amount to be:			refunded	\$		charged:	\$	<div>CALCULATIONS</div> <div>PTO USE ONLY</div>	
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a. ☒ A check in the amount of \$930.00 to cover the above fee is enclosed.

b. ☐ Please charge Deposit Account No. 12-1216 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-1216. A duplicate copy of this sheet is enclosed.

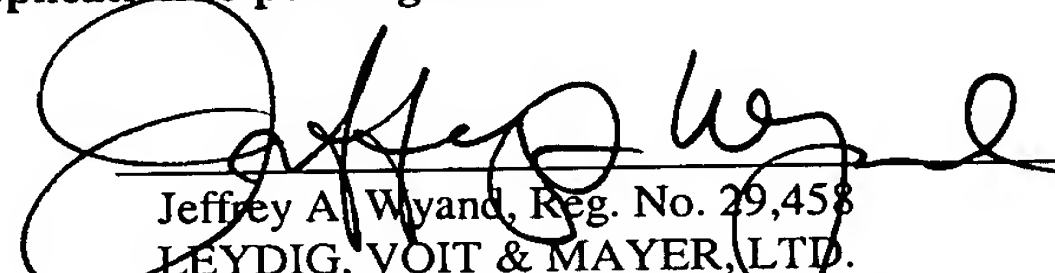
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:



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PATENT TRADEMARK OFFICE



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Date: January 16, 2002